

# Investment Fund Class A or Investment Fund Class B Tax Residency Self-Certification Form Individuals



Formerly State Super Financial Services

## GUIDE TO COMPLETING THIS FORM

- This form is designed to collect the tax status of an individual where this information has not previously been provided or the individual has been identified as a potential taxpayer of a country other than Australia.
- Complete one form for each individual. Complete all applicable sections of this form in BLOCK LETTERS.
- Tax information must be collected from the individual
- PLEASE NOTE: The individual may be treated as being a non-Australian taxpayer if the requested information is not provided.
- Contact your licensee if you have any queries.

## SECTION 1: PERSONAL DETAILS

Client ID

Surname

Date of Birth dd/mm/yyyy

Full Given Name(s)

Residential Address (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

## SECTION 2: TAX INFORMATION

Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

Please answer **both** tax residency questions:

Is the individual a tax resident of Australia? Yes  No

Is the individual a tax resident of another Country? Yes  No

**If the individual is a tax resident of a country other than Australia, please provide their tax identification number (TIN) or equivalent below. If they are a tax resident of more than one other country, please list all relevant countries below.**

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Social Security Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.

1. Country  TIN  If no TIN, list reason A, B or C

2. Country  TIN  If no TIN, list reason A, B or C

3. Country  TIN  If no TIN, list reason A, B or C

If there are more countries, provide details on a separate sheet and tick this box. .

**Reason A** The country of tax residency does not issue TINs to tax residents

**Reason B** The individual has not been issued with a TIN

**Reason C** The country of tax residency does not require the TIN to be disclosed

## SECTION 3: DECLARATION

### IMPORTANT NOTE:

→ Complete the Declaration section below

By completing and signing this declaration I certify that the information I have provided is true and correct.

### INDIVIDUAL DECLARATION (The person named in this form)

Signature

Date

Privacy notification: Personal information collected on this form has been obtained for the primary purpose of complying with our obligations under AML/CTF laws. Our Privacy Policy can be found by typing [www.stateplus.com.au](http://www.stateplus.com.au) into your web browser and clicking on the Privacy Policy link.

[www.stateplus.com.au](http://www.stateplus.com.au)

State Super Financial Services Australia Limited ABN 86 003 742 756 trading as StatePlus AFS Licence 238430